## Please amend the claims as follows:

\_\_\_\_

1

1

### Claim 1: Canceled

- 2. (previously presented) The method set forth in claim 11 wherein:
- 2 the protocol ensures that the results of the transaction are consistent in
- 3 the components; and
- in the step of receiving an augmented one of the messages, the information
- 5 indicating the relevant state indicates whether the transaction will modify data in the
- 6 other component.
  - 3. (previously presented) The method set forth in claim 2 wherein:
- 2 the protocol is a two-phase commit protocol;
- 3 the first component is the coordinator for the protocol; and
- 4 in the step of using the retained relevant state to optimize the protocol the first
- 5 component sends a message that aborts the transaction to an other component when
- 6 the other component's retained relevant state indicates that the transaction does not
- 7 modify the data in the other component.
- 1 4. (original) The method set forth in claim 3 wherein:
- 2 the distributed system is a distributed database system and the components are
- 3 database systems therein.
- 1 5. (currently amended) A method of ensuring that a first component of a distributed
- 2 system that exchanges messages that belong to a protocol for a transaction with one or
- 3 more other components of the distributed system is additionally aware of a state of an



#### oracle01.016

- 4 other component, the state being relevant to the a protocol that is employed with the
- 5 transaction and
- 6 the method comprising the steps practiced in the other component of:
- 7 determining the relevant state; and
- 8 augmenting a message of the transaction sent according to the protocol-with
- 9 state information indicating the relevant state of the other component,
- 10 the first component using the state information to optimize the protocol.
- 1 6. (original) The method set forth in claim 5 wherein:
- 2 the relevant state indicates whether the transaction will modify data in the
- 3 other component.
- 1 7. (original) The method set forth in claim 6 wherein:
- 2 the protocol is a two-phase commit protocol; and
- 3 the other component receives an abort message of the protocol when the
- 4 relevant state indicates that the transaction will not modify the data in the other
- 5 component.
- 8. (original) The method set forth in claim 7 wherein:
- 2 the distributed system is a distributed database system and the components are
- 3 database systems therein.
- 9. (currently amended) A method of executing a two-phase commit protocol for a
- 2 transaction, the transaction involving a coordinator and a cohort and
- 3 the method comprising the steps performed in the coordinator of:



#### oracle01.016

4	receiving a message of the protocol-transaction from the cohort, the mes	sage
5	being augmented with state information indicating whether the transaction mod	lifies

- 6 the cohort's data;
- 7 retaining the state information for the cohort; and
- 8 if the state information for the cohort indicates that the transaction does not
- 9 modify the cohort's data, sending an abort message of the two-phase commit protocol
- 10 to the cohort.



- 1 10. (currently amended) A method of executing a two-phase commit protocol for a
- 2 transaction, the transaction involving a coordinator and a cohort and
- 3 the method comprising the steps performed in the cohort of:
- 4 augmenting a message that the cohort sends to the coordinator as part of the
- 5 protocol-transaction with state information indicating whether the transaction will
- 6 modify the cohort; and
- 7 responding to messages received from the coordinator as required by the
- 8 commit protocol,
- 9 the coordinator sending a message of the commit protocol to the cohort as determined
- 10 by the state information.

1

- 1 11. (currently amended) A method of ensuring that a first component of a
- 2 distributed system that exchanges messages belonging to a protocol for a transaction
- 3 with one or more other components of the distributed system is additionally aware of
- a state of an other component, the state being is relevant to the a protocol employed
- 5 with the transaction and
- 6 the method comprising the steps practiced in the first component of:

# oracle01.016

7	receiving an augmented one of the messages, the augmented message having
8	been augmented by the other component to additionally contain information
9	indicating the relevant state of the other component;
10	retaining the relevant state from the augmented message; and
11	using the retained relevant state to optimize the protocol.
1	
1	12. (previously presented) A data storage device, characterized in that:
2	the data storage device contains code which, when executed by a processor, performs
3	the method of claim 11.
1	
1	13. (previously presented) A data storage device, characterized in that:
2	the data storage device contains code which, when executed by a processor, performs
3	the method of claim 2.
1	
1	14. (previously presented) A data storage device, characterized in that:
2	the data storage device contains code which, when executed by a processor, performs
3	the method of claim 3.
1	
1	15. (previously presented) A data storage device, characterized in that:
2	the data storage device contains code which, when executed by a processor, performs
3	the method of claim 4.
1	
1	16. (previously presented) A data storage device, characterized in that:
2	the data storage device contains code which, when executed by a processor, performs
3	the method of claim 5.

1

## oracle01.016

- 1 17. (previously presented) A data storage device, characterized in that:
- 2 the data storage device contains code which, when executed by a processor, performs
- 3 the method of claim 6.

1

- 18. (previously presented) A data storage device, characterized in that: 1
- the data storage device contains code which, when executed by a processor, performs 2
- 3 the method of claim 7.

1

- 1 19. (previously presented) A data storage device, characterized in that:
- 2 the data storage device contains code which, when executed by a processor, performs
- 3 the method of claim 8.

1

- 1 20. (previously presented) A data storage device, characterized in that:
- 2 the data storage device contains code which, when executed by a processor, performs
- 3 the method of claim 9.

1

- 1 21. (previously presented) A data storage device, characterized in that:
- 2 the data storage device contains code which, when executed by a processor, performs the
- method of claim 10.

1

- 1 22. (currently amended) A coordinator in a distributed system that coordinates a
- 2 protocol employed withfor a transaction that exchanges messages with involves one
- 3 or more other components of the distributed system by exchanging messages of the
- 4 protocol with the other components,
- the coordinator having the improvement comprising:
- 6 retained state information that retains state of an other component that is
- 7 relevant to the protocol,

5

6

	1 -	Λ	1	$\sim$	1	
orac	ıе	:U	1.	. U	1	τ

8	the coordinator receiving a message of the protocol-transaction from the other
9	component which has been augmented with the state information, retaining the state
10	information from the augmented message in the retained state information, and using
11	the retained state information to optimize the protocol.
1	
1	23. (previously presented) The coordinator set forth in claim 22 wherein:
2	the protocol ensures that the results of the transaction are consistent in the
3	components; and
4	the retained state information for the other component indicates whether the
5	transaction will modify data in the other component.
1	
1	24. (previously presented) The coordinator set forth in claim 23 wherein:
2	the protocol is a two-phase commit protocol; and
3	the coordinator sends a message of the protocol that aborts the transaction to
4	an other component when the other component's retained state indicates that the
5	transaction does not modify the data in the other component.
1	
1	25. (previously presented) The coordinator set forth in claim 24 wherein:
2	the distributed system is a distributed database system and the coordinator and
3	the other component are database systems therein.
1	
1	26. (currently amended) A cohort in a distributed system, the cohort being involved
2	in a transaction ecordinated according to which employs a protocol that is coordinated
3	by a coordinator and exchanging messages of the protocol transaction with the
4	coordinator,
5	the cohort having the improvement comprising:
6	a message of the protocol that is augmented with state information indicating a
7 ·	state of the cohort which is relevant to the protocol,

9

8

27. (previously presented) The cohort set forth in claim 26 wherein:

the cohort sending the message to the coordinator and the coordinator retaining the

state information and using the retained state information to optimize the protocol.

# oracle01.016

# ORACLE CONFIDENTIAL

the state information in the augmented message indicates whether the transaction will modify data in the cohort.  28. (previously presented) The cohort set forth in claim 27 wherein: the protocol is a two-phase commit protocol; and the coordinator sends a message of the protocol that aborts the transaction to the cohort when the retained state information for the cohort indicates that the transaction does not modify the data in the cohort.  29. (previously presented) The cohort set forth in claim 28 wherein: the distributed system is a distributed database system and the cohort and coordinator are database systems therein.
<ul> <li>28. (previously presented) The cohort set forth in claim 27 wherein:</li></ul>
<ul> <li>28. (previously presented) The cohort set forth in claim 27 wherein: the protocol is a two-phase commit protocol; and the coordinator sends a message of the protocol that aborts the transaction to the cohort when the retained state information for the cohort indicates that the transaction does not modify the data in the cohort.</li> <li>29. (previously presented) The cohort set forth in claim 28 wherein: the distributed system is a distributed database system and the cohort and</li> </ul>
the protocol is a two-phase commit protocol; and the coordinator sends a message of the protocol that aborts the transaction to the cohort when the retained state information for the cohort indicates that the transaction does not modify the data in the cohort.  29. (previously presented) The cohort set forth in claim 28 wherein: the distributed system is a distributed database system and the cohort and
the protocol is a two-phase commit protocol; and the coordinator sends a message of the protocol that aborts the transaction to the cohort when the retained state information for the cohort indicates that the transaction does not modify the data in the cohort.  29. (previously presented) The cohort set forth in claim 28 wherein: the distributed system is a distributed database system and the cohort and
the coordinator sends a message of the protocol that aborts the transaction to the cohort when the retained state information for the cohort indicates that the transaction does not modify the data in the cohort.  29. (previously presented) The cohort set forth in claim 28 wherein:  the distributed system is a distributed database system and the cohort and
the cohort when the retained state information for the cohort indicates that the transaction does not modify the data in the cohort.  29. (previously presented) The cohort set forth in claim 28 wherein: the distributed system is a distributed database system and the cohort and
transaction does not modify the data in the cohort.  29. (previously presented) The cohort set forth in claim 28 wherein: the distributed system is a distributed database system and the cohort and
29. (previously presented) The cohort set forth in claim 28 wherein: the distributed system is a distributed database system and the cohort and
the distributed system is a distributed database system and the cohort and
the distributed system is a distributed database system and the cohort and
· · · · · · · · · · · · · · · · · · ·
coordinator are database systems therein.
30. (currently amended) A coordinator in a distributed system that coordinates a
two-phase commit protocol employed with a transaction that is performed according
to a two-phase commit-protocol-and-involves one or more cohorts in the distributed
system,
the coordinator having the improvement comprising:
retained state information that retains state of a cohort, the state indicating
whether the transaction will modify the cohort's data,
the coordinator receiving a message of the protocol transaction from the cohort which
has been augmented with the state information, retaining the state information from
the augmented message in the retained state information, and if the retained state
information for the cohort indicates that the transaction does not modify the cohort's
data, sending an abort message of the two-phase commit protocol to the cohort.
31. (currently amended) A cohort in a distributed system in which a coordinator in the
distributed system coordinates a two-phase commit protocol employed with a transaction
that is performed according to a two-phase commit protocol and involves the cohort,

the cohort having the improvement comprising:





- a message of the protocol transaction that is augmented with state information
- 6 indicating whether the transaction will modify the cohort's data,
- the cohort sending the message to the coordinator and the coordinator retaining the state
- 8 information and if the retained state information for the cohort indicates that the
- 9 transaction does not modify the cohort's data, sending an abort message of the two-phase
- 10 commit protocol to the cohort.